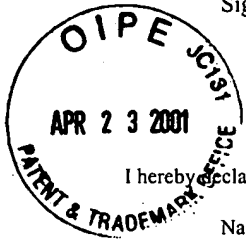


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/755,503
Group Art Unit. 2644
Inventor Nancy E. Miller et al.
Attorney's Docket No. BA4-067
Title: Multi-Query Data Visualization Processes, Data Visualization Apparatus, Computer-Readable Media and Computer Data Signals Embodied in a Transmission Medium



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: Battelle Memorial Institute
Address of Organization: 902 Battelle Boulevard
P. O. Box 999
Richland, WA 99352

Type of Organization:

- ☐ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
☒ Nonprofit scientific or educational under Statute of State of the United States of America
(Name of State: Ohio)
(Citation of Statute: §§1719.01 and 1719.05 Rev. Code of Ohio)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America
(Name of State:)
(Citation of Statute:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and 9(b) of Title 35, United States Code with regard to the invention entitled Multi-Query Data Visualization Processes, Data Visualization Apparatus, Computer-Readable Media and Computer Data Signals Embodied in a Transmission Medium.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing Stephen R. May

Title of Person Other Than Owner Contracting Officer

Address of Person Signing 902 Battelle Boulevard/P.O. Box 999, Richland, WA 99352

Signature

Stephen R. May

Date

April 11, 2001

DECLARATION OF JOINT INVENTORS FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: MULTI-QUERY DATA VISUALIZATION PROCESSES, DATA VISUALIZATION APPARATUS, COMPUTER-READABLE MEDIA AND COMPUTER DATA SIGNALS EMBODIED IN A TRANSMISSION MEDIUM, Serial No. 09/755,503, filed January 5, 2001.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

PRIOR FOREIGN APPLICATIONS:

I hereby state that no applications for foreign patents or inventor's certificates have been filed prior to the date of execution of this declaration.

POWER OF ATTORNEY:

As a named Inventor, I hereby appoint the following attorneys and agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: David P. Roberts, Reg. No. 23,032; Randy A. Gregory, Reg. No. 30,386; Mark S. Matkin, Reg. No. 32,268; James L. Price, Reg. No. 27,376; Deepak Malhotra, Reg. No. 33,560; Mark W. Hendricksen, Reg. No. 32,356; David G. Latwesen, Reg. No. 38,533; George G. Grigel, Reg. No. 31,166; Keith D. Grzelak, Reg. No. 37,144;

James D. Shaurette, Reg. No. 39,833; Frederick M. Fliegel, Reg. No. 36,138; Donald Brent Kenady, Reg. No. 40,045; James E. Lake, Reg. No. 44,854; and Bernard Berman, Reg. No. 37,279 of the law firm of WELLS, ST. JOHN, ROBERTS, GREGORY & MATKIN P.S., and also attorney Steve R. May, Reg. No. 29,255, of BATTELLE MEMORIAL INSTITUTE.

Direct all communications to Frederick M. Fliegel, Ph.D., at WELLS, ST. JOHN, ROBERTS, GREGORY & MATKIN P.S., 601 West First Avenue, Suite 1300, Spokane, WA 99201-3828. Telephone: (509) 624-4276; (PTO Customer No. 021567).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued therefrom.

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Lucy T. Nowell

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